

AMENDED IN ASSEMBLY SEPTEMBER 3, 2015

AMENDED IN ASSEMBLY AUGUST 28, 2015

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AMENDED IN SENATE APRIL 22, 2015

SENATE BILL

No. 484

Introduced by Senator Beall

(Principal coauthor: Assembly Member Chiu)

(Coauthors: Senators Mitchell and Monning)

February 26, 2015

An act to amend Sections 1507.6 and 1536 of, and to add Sections 1538.8 and 1538.9 to, the Health and Safety Code, and to amend Section 11469 of the Welfare and Institutions Code, relating to juveniles.

LEGISLATIVE COUNSEL'S DIGEST

SB 484, as amended, Beall. Juveniles.

(1) The California Community Care Facilities Act provides for the licensure and regulation of community care facilities, including foster family homes and group homes, by the State Department of Social Services. A violation of this act is a misdemeanor.

Under existing law, a child in a group home may receive mental health services, as deemed necessary by the placing agency and under the case management of that agency. Under existing law, only a juvenile court judicial officer may make orders regarding the administration of psychotropic medications to a child adjudged a dependent or ward of the court and removed from the physical custody of the parent. Existing law requires that the order be based on a request from a physician,

indicating the reasons for the request and a description of the child's diagnosis and behavior, among other requirements.

This bill would provide that psychotropic medications may be used at a group home, other than at a runaway and homeless youth shelter, only in accordance with the written directions of the physician prescribing the medication and as authorized by the juvenile court. The bill would require the group home to maintain in the child's records specified information regarding the administration of those medications.

(2) Existing law requires the Director of Social Services, at least annually, to publish and make available to interested persons a list covering all licensed community care facilities, except as specified, and the services for which each facility has been licensed or issued a special permit.

This bill would require the department to compile specified information regarding the administration of psychotropic medications to children in group homes and to post that information on the department's Internet Web site. The bill would require the department, in consultation with the State Department of Health Care Services and stakeholders, to establish a methodology to identify those group homes that have levels of psychotropic drug utilization warranting additional review, as specified. The bill would also require the department, for the facilities identified by the methodology that it establishes, to visit those facilities at least once a year to examine specified factors. The bill would ~~require a facility, for which~~ *authorize the department, based on following that inspection, finds a risk to the health, safety, or personal rights of clients in care, to share relevant information and observations with county placing agencies, social workers, probation officers, the court, dependency counsel, or the Medical Board of California, or share relevant information and observations with the facility and require the facility to submit a plan of correction to the department* ~~department,~~ within 30 days of receiving ~~notice of the department's findings.~~ *information and observations, to address any identified risks within the control of the facility related to psychotropic medication.* The bill would require the department to ~~monitor the facility's~~ *approve the plan and verify the plan's implementation of that plan of correction to determine that the concerns identified during the inspection have been addressed. whether those identified risks have been remedied.* Because the failure of the facility to comply with these provisions would be a misdemeanor, the bill would impose a state-mandated local program.

(3) Existing law requires the department, on or before January 1, 2016, in consultation with specified associations and other stakeholders, to develop additional performance standards and outcome measures that require group homes to implement programs and services to minimize law enforcement contacts with minors in group homes or under supervision of group home staff.

This bill would require the department, on or before January 1, 2017, in consultation with specified associations and other stakeholders, to develop additional performance standards and outcome measures that require group homes to implement alternative programs and services, as specified. *The bill would make related changes.*

(4) The California Constitution requires the state to reimburse local agencies and school districts for certain costs mandated by the state. Statutory provisions establish procedures for making that reimbursement.

This bill would provide that no reimbursement is required by this act for a specified reason.

Vote: majority. Appropriation: no. Fiscal committee: yes.
State-mandated local program: yes.

The people of the State of California do enact as follows:

1 SECTION 1. Section 1507.6 of the Health and Safety Code is
2 amended to read:
3 1507.6. (a) Mental health services, as deemed necessary by
4 the placing agency, may be provided to children in a group home.
5 Except for the physical safety and direct care and supervision of
6 children so placed, the State Department of Social Services and
7 its agents shall not evaluate or have responsibility or liability for
8 the evaluation of mental health services provided in those homes.
9 Supervision of mental health treatment services provided to a child
10 in a group home shall be a case management responsibility of the
11 placing agency.
12 (b) (1) Psychotropic medications shall be used only in
13 accordance with the written directions of the physician prescribing
14 the medication and as authorized by the juvenile court pursuant to
15 Section 369.5 or 739.5 of the Welfare and Institutions Code.
16 (2) The facility shall maintain in a child's records all of the
17 following information:
18 (A) A copy of any court order authorizing the psychotropic
19 medication for the child.

(B) A separate log for each psychotropic medication prescribed for the child, showing all of the following:

- (i) The name of the medication.
- (ii) The date of the prescription.
- (iii) The quantity of medication and number of refills initially prescribed.
- (iv) When applicable, any additional refills prescribed.
- (v) The required dosage and directions for use as specified in writing by the physician prescribing the medication, including any changes directed by the physician.
- (vi) The date and time of each dose taken by the child.

(3) This subdivision does not apply to a runaway and homeless youth shelter, as defined in Section 1502.

SEC. 2. Section 1536 of the Health and Safety Code is amended to read:

1536. (a) (1) At least annually, the department shall publish and make available to interested persons a list or lists covering all licensed community care facilities, other than foster family homes and certified family homes of foster family agencies providing 24-hour care for six or fewer foster children, and the services for which each facility has been licensed or issued a special permit.

(2) For a group home, transitional housing placement provider, community treatment facility, ~~or~~ runaway and homeless youth shelter, *or short-term residential treatment center*, the list shall include both of the following:

(A) The number of licensing complaints, types of complaint, and outcomes of complaints, including citations, fines, exclusion orders, license suspensions, revocations, and surrenders.

(B) The number, types, and outcomes of law enforcement contacts made by the facility staff or children, as reported pursuant to subdivision (a) of Section 1538.7.

(b) Subject to subdivision (c), to encourage the recruitment of foster family homes and certified family homes of foster family agencies, protect their personal privacy, and to preserve the security and confidentiality of the placements in the homes, the names, addresses, and other identifying information of facilities licensed as foster family homes and certified family homes of foster family agencies providing 24-hour care for six or fewer children shall be considered personal information for purposes of the Information Practices Act of 1977 (Chapter 1 (commencing with Section 1798))

1 of Title 1.8 of Part 4 of Division 3 of the Civil Code). This
2 information shall not be disclosed by any state or local agency
3 pursuant to the California Public Records Act (Chapter 3.5
4 (commencing with Section 6250) of Division 7 of Title 1 of the
5 Government Code), except as necessary for administering the
6 licensing program, facilitating the placement of children in these
7 facilities, and providing names and ~~addresses~~ *addresses, upon*
8 *request, only to bona fide professional foster parent organizations*
9 ~~*upon request, and to professional organizations educating foster*~~
10 ~~*parents, including the Foster and Kinship Care Education Program*~~
11 ~~*of the California Community Colleges.*~~

12 (c) Notwithstanding subdivision (b), the department, a county,
13 or a foster family agency may request information from, or divulge
14 information to, the department, a county, or a foster family agency,
15 regarding a prospective certified parent, foster parent, or relative
16 caregiver for the purpose of, and as necessary to, conduct a
17 reference check to determine whether it is safe and appropriate to
18 license, certify, or approve an applicant to be a certified parent,
19 foster parent, or relative caregiver.

20 (d) The department may issue a citation and, after the issuance
21 of that citation, may assess a civil penalty of fifty dollars (\$50) per
22 day for each instance of a foster family agency's failure to provide
23 the department with the information required by subdivision (h)
24 of Section 88061 of Title 22 of the California Code of Regulations.

25 (e) The Legislature encourages the department, when funds are
26 available for this purpose, to develop a database that would include
27 all of the following information:

28 (1) Monthly reports by a foster family agency regarding family
29 homes.

30 (2) A log of family homes certified and decertified, provided
31 by a foster family agency to the department.

32 (3) Notification by a foster family agency to the department
33 informing the department of a foster family agency's determination
34 to decertify a certified family home due to any of the following
35 actions by the certified family parent:

36 (A) Violating licensing rules and regulations.

37 (B) Aiding, abetting, or permitting the violation of licensing
38 rules and regulations.

1 (C) Conducting oneself in a way that is inimical to the health,
2 morals, welfare, or safety of a child placed in that certified family
3 home.

4 (D) Being convicted of a crime while a certified family parent.

5 (E) Knowingly allowing any child to have illegal drugs or
6 alcohol.

7 (F) Committing an act of child abuse or neglect or an act of
8 violence against another person.

9 (f) At least annually, the department shall post on its Internet
10 Web site a statewide summary of the information gathered pursuant
11 to Sections 1538.8 and 1538.9. The summary shall include only
12 de-identified and aggregate information that does not violate the
13 confidentiality of a child's identity and records.

14 SEC. 3. Section 1538.8 is added to the Health and Safety Code,
15 to read:

16 1538.8. (a) (1) In order to review and evaluate the use of
17 psychotropic medications in group homes, the department shall
18 compile, to the extent feasible and not otherwise prohibited by law
19 and based on information received from the State Department of
20 Health Care Services, at least annually, information concerning
21 each group home, including, but not limited to, the child welfare
22 psychotropic medication measures developed by the department
23 and the following Healthcare Effectiveness Data and Information
24 Set (HEDIS) measures related to psychotropic medications:

25 (A) Follow-Up Care for Children Prescribed Attention Deficit
26 Hyperactivity Disorder Medication (HEDIS ADD), which measures
27 the number of children 6 to 12 years of age, inclusive, who have
28 a visit with a provider with prescribing authority within 30 days
29 of the new prescription.

30 (B) Use of Multiple Concurrent Antipsychotics in Children and
31 Adolescents (HEDIS APC), which does both of the following:

32 (i) Measures the number of children receiving an antipsychotic
33 medication for at least 60 out of 90 days and the number of children
34 who additionally receive a second antipsychotic medication that
35 overlaps with the first.

36 (ii) Reports a total rate and age stratifications including 6 to 11
37 years of age, inclusive, and 12 to 17 years of age, inclusive.

38 (C) Use of First-Line Psychosocial Care for Children and
39 Adolescents on Antipsychotics (HEDIS APP), which measures
40 whether a child has received psychosocial services 90 days before

1 through 30 days after receiving a new prescription for an
2 antipsychotic medication.

3 (D) Metabolic Monitoring for Children and Adolescents on
4 Antipsychotics (HEDIS APM), which does both of the following:

5 (i) Measures testing for glucose or HbA1c and lipid or
6 cholesterol of a child who has received at least two different
7 antipsychotic prescriptions on different days.

8 (ii) Reports a total rate and age stratifications including 6 to 11
9 years of age, inclusive, and 12 to 17 years of age, inclusive.

10 (2) The department shall post the list of data to be collected
11 pursuant to this subdivision on the department's Internet Web site.

12 (b) The data in subdivision (a) concerning psychotropic
13 medication, mental health services, and placement shall be drawn
14 from existing data maintained by the State Department of Health
15 Care Services and the State Department of Social Services and
16 shared pursuant to a data sharing agreement meeting the
17 requirements of all applicable state and federal laws and
18 regulations.

19 (c) This section does not apply to a runaway and homeless youth
20 shelter, as defined in Section 1502.

21 SEC. 4. Section 1538.9 is added to the Health and Safety Code,
22 to read:

23 1538.9. (a) (1) (A) The department shall consult with the
24 State Department of Health Care Services and stakeholders to
25 establish a methodology for identifying those group homes
26 providing ~~services~~ *care* under the AFDC-FC program pursuant to
27 Sections 11460 and 11462 of the Welfare and Institutions Code
28 that have levels of psychotropic drug utilization warranting
29 additional review. The methodology shall be adopted on or before
30 July 1, 2016.

31 (B) Every three years after adopting the methodology developed
32 under subparagraph (A), or earlier if needed, the department shall
33 consult with the State Department of Health Care Services and
34 stakeholders and revise the methodology, if necessary.

35 (2) If the department, applying the methodology described in
36 paragraph (1), determines that a facility appears to have levels of
37 psychotropic drug utilization warranting additional review, it shall
38 inspect the facility at least once a year.

39 (3) The inspection of the facility shall include, but not be limited
40 to, a review of the following:

- 1 (A) Plan of operation, policies, procedures, and practices.
- 2 (B) Child-to-staff ratios.
- 3 (C) Staff qualifications and training.
- 4 (D) Implementation of children's needs and services plan.
- 5 (E) Availability of psychosocial and other alternative treatments
- 6 to the use of psychotropic medications.
- 7 (F) Other factors that the department determines contribute to
- 8 levels of psychotropic drug utilization that warrant additional
- 9 review.

10 (G) Confidential interviews of children residing in the facility
11 at the time of the inspection.

12 (4) The inspection of the facility may include, but is not limited
13 to, the following:

14 (A) Confidential interviews of children who resided in the
15 facility within the last six months.

16 (B) Confidential discussions with physicians identified as
17 prescribing the medications.

18 ~~(b) Based upon an inspection conducted pursuant to subdivision~~
19 ~~(a), the department shall share relevant information or observations~~
20 ~~with county placing agencies, social workers, probation officers,~~
21 ~~the court, dependency counsel, or the Medical Board of California.~~
22 ~~If, as a result of the inspection conducted pursuant to subdivision~~
23 ~~(a), the department finds a risk to the health, safety, or personal~~
24 ~~rights of clients in care, the facility shall submit a plan of correction~~
25 ~~to the department. The plan of correction shall be submitted to the~~
26 ~~department within 30 days after the facility has received the~~
27 ~~department's notice of findings. The department shall monitor the~~
28 ~~implementation of the plan of correction to determine that the~~
29 ~~concerns identified during the inspection have been addressed.~~
30 ~~This subdivision does not prevent the department from taking any~~
31 ~~other corrective action as permitted under any other law or any~~
32 ~~regulation adopted pursuant to this chapter.~~

33 *(b) Following an inspection conducted pursuant to this section,*
34 *the department, as it deems appropriate, may do either or both of*
35 *the following:*

36 *(1) Share relevant information and observations with county*
37 *placing agencies, social workers, probation officers, the court,*
38 *dependency counsel, or the Medical Board of California, as*
39 *applicable.*

1 (2) *Share relevant information and observations with the facility*
2 *and require the facility to submit a plan, within 30 days of receiving*
3 *the information and observations from the department, to address*
4 *any identified risks within the control of the facility related to*
5 *psychotropic medication. The department shall approve the plan*
6 *and verify implementation of the plan to determine whether those*
7 *risks have been remedied.*

8 (c) (1) Notwithstanding the rulemaking provisions of the
9 Administrative Procedure Act (Chapter 3.5 (commencing with
10 Section 11340) of Part 1 of Division 3 of Title 2 of the Government
11 Code), until emergency regulations are filed with the Secretary of
12 State, the department may implement this section through
13 all-county letters or similar instructions.

14 (2) On or before January 1, 2017, the department shall adopt
15 regulations to implement this section. The initial adoption,
16 amendment, or repeal of a regulation authorized by this subdivision
17 is deemed to address an emergency, for purposes of Sections
18 11346.1 and 11349.6 of the Government Code, and the department
19 is hereby exempted for that purpose from the requirements of
20 subdivision (b) of Section 11346.1 of the Government Code. After
21 the initial adoption, amendment, or repeal of an emergency
22 regulation pursuant to this section, the department may twice
23 request approval from the Office of Administrative Law to readopt
24 the regulation as an emergency regulation pursuant to Section
25 11346.1 of the Government Code. The department shall adopt final
26 regulations on or before January 1, 2018.

27 (d) Nothing in this section ~~is intended to replace or alter other~~
28 *does any of the following:*

29 (1) *Replaces or alters other* requirements for responding to
30 complaints and making inspections or visits to group homes,
31 including, but not limited to, those set forth in Sections 1534 and
32 1538.

33 (2) *Prevents or precludes the department from taking any other*
34 *action permitted under any other law, including any regulation*
35 *adopted pursuant to this chapter.*

36 (e) This section does not apply to a runaway and homeless youth
37 shelter, as defined in Section 1502.

38 SEC. 5. Section 11469 of the Welfare and Institutions Code is
39 amended to read:

1 11469. (a) The department shall develop, following
2 consultation with group home providers, the County Welfare
3 Directors Association of California, the Chief Probation Officers
4 of California, the County Behavioral Health Directors Association
5 of California, the State Department of Health Care Services, and
6 stakeholders, performance standards and outcome measures for
7 determining the effectiveness of the care and supervision, as
8 defined in subdivision (b) of Section 11460, provided by group
9 homes under the AFDC-FC program pursuant to Sections 11460
10 and 11462. These standards shall be designed to measure group
11 home program performance for the client group that the group
12 home program is designed to serve.

13 (1) The performance standards and outcome measures shall be
14 designed to measure the performance of group home programs in
15 areas over which the programs have some degree of influence, and
16 in other areas of measurable program performance that the
17 department can demonstrate are areas over which group home
18 programs have meaningful managerial or administrative influence.

19 (2) These standards and outcome measures shall include, but
20 are not limited to, the effectiveness of services provided by each
21 group home program, and the extent to which the services provided
22 by the group home assist in obtaining the child welfare case plan
23 objectives for the child.

24 (3) In addition, when the group home provider has identified
25 as part of its program for licensing, ratesetting, or county placement
26 purposes, or has included as a part of a child's case plan by mutual
27 agreement between the group home and the placing agency,
28 specific mental health, education, medical, and other child-related
29 services, the performance standards and outcome measures may
30 also measure the effectiveness of those services.

31 (b) Regulations regarding the implementation of the group home
32 performance standards system required by this section shall be
33 adopted no later than one year prior to implementation. The
34 regulations shall specify both the performance standards system
35 and the manner by which the AFDC-FC rate of a group home
36 program shall be adjusted if performance standards are not met.

37 (c) Except as provided in subdivision (d), effective July 1, 1995,
38 group home performance standards shall be implemented. Any
39 group home program not meeting the performance standards shall

1 have its AFDC-FC rate, set pursuant to Section 11462, adjusted
2 according to the regulations required by this section.

3 (d) A group home program shall be classified at rate
4 classification level 13 or 14 only if all of the following are met:

5 (1) The program generates the requisite number of points for
6 rate classification level 13 or 14.

7 (2) The program only accepts children with special treatment
8 needs as determined through the assessment process pursuant to
9 paragraph (2) of subdivision (a) of Section 11462.01.

10 (3) The program meets the performance standards designed
11 pursuant to this section.

12 (e) Notwithstanding subdivision (c), the group home program
13 performance standards system shall not be implemented prior to
14 the implementation of the AFDC-FC performance standards
15 system.

16 (f) On or before January 1, 2016, the department shall develop,
17 following consultation with the County Welfare Directors
18 Association of California, the Chief Probation Officers of
19 California, the County Behavioral Health Directors Association
20 of California, research entities, foster children, advocates for foster
21 children, foster care provider business entities organized and
22 operated on a nonprofit basis, Indian tribes, and other stakeholders,
23 additional performance standards and outcome measures that
24 require group homes to implement programs and services to
25 minimize law enforcement contacts and delinquency petition filings
26 arising from incidents of allegedly unlawful behavior by minors
27 occurring in group homes or under the supervision of group home
28 staff, including individualized behavior management programs,
29 emergency intervention plans, and conflict resolution processes.

30 (g) On or before January 1, 2017, the department shall develop,
31 following consultation with the County Welfare Directors
32 Association of California, the Chief Probation Officers of
33 California, the County Behavioral Health Directors Association
34 of California, the Medical Board of California, research entities,
35 foster children advocates for foster children, foster care provider
36 business entities organized and operated on a nonprofit basis,
37 Indian tribes, and other stakeholders, additional performance
38 standards and outcome measures that require group homes to
39 implement alternative programs and services, including

1 individualized behavior management programs, emergency
2 intervention plans, and conflict resolution processes.

3 SEC. 6. No reimbursement is required by this act pursuant to
4 Section 6 of Article XIII B of the California Constitution because
5 the only costs that may be incurred by a local agency or school
6 district will be incurred because this act creates a new crime or
7 infraction, eliminates a crime or infraction, or changes the penalty
8 for a crime or infraction, within the meaning of Section 17556 of
9 the Government Code, or changes the definition of a crime within
10 the meaning of Section 6 of Article XIII B of the California
11 Constitution.